

7 August 2013



**Australian Government**  
**Australian Skills Quality Authority**

Mr Alan D'Andrea  
Chief Executive Officer  
Investment Banking Institute Pty Ltd  
Level 2, 460 Collins Street  
Melbourne Vic 3000

Ref No: Application #1035172

Dear Mr D'Andrea

**Re: Outcome of renewal of registration application—granted**

I refer to your application to renew your registration as a national VET regulator (NVR) registered training organisation (RTO).

In accordance with the provisions of the *National Vocational Education and Training Regulator Act 2011* (the Act), your application for registration has been granted. A copy of the final audit report is attached for your reference.

The National Register has been updated to include the following key details of your organisation:

Legal name:	Investment Banking Institute Pty Ltd
Trading name/s (if relevant):	Investment Banking Institute Pty Ltd
RTO ID number:	22047

**Period of registration**

Your organisation has been granted registration for a period of five years which commenced on 6 August 2013 and is due to expire on 5 August 2018. A new Certificate of Registration will be forwarded to you via Australia Post within the next seven days. You can apply to the Australian Skills Quality Authority (ASQA) to renew or withdraw your organisation's registration, and timeframes and obligations apply to each process. There are also obligations on organisations that effectively cease to operate, even though they remain registered as RTOs. Further information about obligations in these circumstances is available from the ASQA website at [www.asqa.gov.au](http://www.asqa.gov.au)

**Scope of registration**

The organisation's scope of registration – the vocational education and training (VET) courses that your organisation is registered to provide – is listed on the National Register. Please note that Sections 93-94 of the Act provide for the imposition of monetary penalties on RTOs that provide all or part of a VET course outside scope of registration.

If the training and assessment provided is likely to lead to students applying for a licence/recognition to operate in a relevant industry, you will need to ensure that you meet the requirements of the relevant national or state/territory regulator/s. Please contact the relevant regulator/s to ensure you

Australian Skills  
Quality Authority  
ABN 72 581 678 650  
GPO Box 9928  
Melbourne VIC 3001  
Info line 1300 701 801  
[www.asqa.gov.au](http://www.asqa.gov.au)

have satisfied regulatory requirements before delivery and ensure that marketing materials are accurate.

### **Changes to scope of registration**

You must apply to ASQA if your organisation wishes to change its scope of registration by either adding or removing a VET course. Information on the process to make changes to your scope of registration is available from the ASQA website at [www.asqa.gov.au](http://www.asqa.gov.au)

### **RTO ID number**

You **must** quote your organisation's RTO ID number in all future correspondence with ASQA. This number **must** also be included on the qualifications and statements of attainment that you issue and the marketing materials that you use as an RTO.

### **Maintaining compliance**

I wish to remind you that you have signed a statutory declaration in which you have accepted responsibility for ensuring compliance with the VET Quality Framework. This framework comprises the following components:

- the Standards for NVR Registered Training Organisations
- the Australian Qualifications Framework
- the Fit and Proper Person Requirements of the Act
- the Financial Viability Risk Assessment Requirements of the Act
- the Data Provision Requirements of the Act.

This is an important commitment that requires you to actively manage and monitor your RTO's activities to ensure that compliance is maintained at all times and across all of your operations.

RTO compliance is the mandated foundation upon which organisations should plan and achieve quality improvements to their training and assessment services.

### **ASQA fees and charges**

Please refer to ASQA website for details of fees and charges that apply to your registration: [www.asqa.gov.au/About ASQA/Fees & Charges](http://www.asqa.gov.au/About%20ASQA/Fees%20&%20Charges).

### **Conditions of registration**

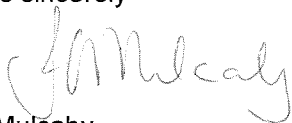
You are required to comply with the conditions of registration set out in Sections 22-28 of the Act, as well as any additional conditions that ASQA, as the national VET regulator, has imposed on your organisation's registration.

There are no additional conditions imposed on your organisation's registration.

### **Further information and assistance**

If you require further information or assistance in relation to this matter, please contact Christine Williams on telephone 03) 861 33980 or by email at [christine.williams@asqa.gov.au](mailto:christine.williams@asqa.gov.au)

Yours sincerely



Jan Mulcahy  
**Ag/Regional Manager, Compliance Melbourne**